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Low lying electronic states of carotenoids

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Four all-trans carotenoids, spheroidene, 3,4-dihydro-spheroidene, 3,4,5,6-tetrahydro-spheroidene, and 3,4,7,8-tetrahydro-spheroidene

from 10 to seven conjugated carbon double bonds exhibit a systematic crossover from S → S^{*} (¹R → ¹A_g) to S → S₁ states of longer carotenoids have consistently lower energies than hydrocarbon models.

Introduction

Carotenoids enhance the light-capturing ability of

ity between donor and acceptor, which promotes orbital overlap. Which of these mechanisms best describes energy transfer in the antenna complexes in the excited state structures of the

involved in the transfer process. In our case the rate

minimum excited states must take as its starting point

the previous experimental and theoretical

single points [9-10] and model calculations [17].

Electronic spectroscopy of these molecules has firmly

double bonds) and carbonyl groups (C=O, C=C) between the ground electronic state (¹A_g (S)) and the

condensed phases, the strong, primarily allowed, S₁ absorption typically is accompanied by a Stokes

Contrast, the Dexter (or exchange) mechanism involves the simultaneous exchange of two electrons between

clearly show a trend toward increasing S₂-S₁ energy separation with increasing conjugation.

Materials and Methods

With respect to the excited states of shorter polyenes (1, 2) the relaxation kinetics of carotenoid excited states and their important roles as energy donors in photosynthetic β-carotene (11 conjugated double bonds), mainly weak fluorescence that are not shifted from the origins of their strongly allowed 1A_g → 1B_u absorptions (18-21). These emissions originate from the S₁ state. The absorption of ultraviolet S₂ → S₁ emissions is also reported.

Cells of the *Rhodospirillum rubrum* bacteria were grown in a modified medium. The cells were harvested by centrifugation and washed with distilled water. The cells were then extracted with methanol and the extract was purified by silica gel column chromatography. The spheroidene was purified by silica gel column chromatography. The spheroidene was purified by silica gel column chromatography.

question whether the energies and lifetimes of the carotenoid energy transfer from this state. It is possible that the S₂ → S₁ transition is the main energy transfer path in the carotenoid energy transfer process.

natant was yellow and the pellet was tan. After reduction with sodium dithionite the supernatant was green. The solid carotenoid residue was redissolved in acetone (40-60°C) and applied to an alumina (Sigma A-9003) column. Spheroidene was obtained by eluting with a ~2% solution of ethyl acetate in acetone. The 2,4-dihydroxyphenylhydrazide was obtained by a similar extraction procedure and purified by thin layer chromatography on silica gel using 1:1 acetone:ethyl acetate.

The 1 states of most carotenoids (23,20). The apparent energy of the S₁ state of carotenoid is reported to be 1.1 eV (20) which is lower than the energy of the antenna function of these molecules in photosynthesis (27, 20). However, more recent resonance Raman measurements (28) suggest that the S₁ state energy is actually higher, around 1.3 eV.

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to protect the weak 1A_g → 2A_g transition on top of the 1A_g → 1B_u absorption. The extensive data now available for the 1A_g → 1B_u transition (2-10), for which the energy of the S₁ state can be accurately located from the origin of the S₂ → S₁ emission and excitation spectra, point to a lower energy for the S₁ state than previously assumed.

The present work focuses on an efficient energy transfer and decay characteristics of the S₂ and S₁ states of carotenoids. The energy of the S₁ state is reported to be 1.1 eV (20) which is lower than the energy of the antenna function of these molecules in photosynthesis (27, 20). However, more recent resonance Raman measurements (28) suggest that the S₁ state energy is actually higher, around 1.3 eV.

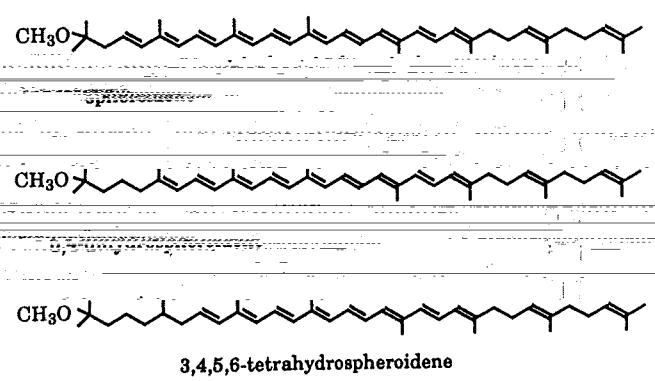
lower than previously assumed. It seems fair to say that the molecules such as β-carotene and spheroidene, etc. are not efficient energy transfer, are rather inefficient.

retrograde ether solutions of each carotenoid were prepared in a similar manner. The carotenoid solutions were dried with a diode array detector which provided 2

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spheroidene (methoxyspheroidene), 3,4,5,6-tetrahydro-spheroidene and 3,4,7,8-tetrahydro-spheroidene have been and their conjugated π-electron double bonds and their primary in terms of their structure, photochemical stability and synthetic analogs. 3,4,5,6-Tetrahydro-spheroidene and 3,4,7,8-tetrahydro-spheroidene are synthetic analogs having eight and seven conjugated π-electron double bonds, respectively. An important feature of this work has been the development of HPLC techniques which allow the study of isomerically pure samples. The all-trans isomers of these four molecules, which are structurally identical except for their systematic variation



using a C_{18} reversed-phase column (200×4.6 mm) and guard column (both containing Lichrosorb RP-18, $5 \mu\text{m}$ particles). Samples were eluted at a flow rate of 2.0 ml/min with a mobile phase programmed as follows: 0–4 min, isocratic, methanol/*n*-hexane (95/5 v/v), 4–6 min, linear gradient to methanol/water (95/5 v/v), 6–10 min, linear gradient to methanol/water (98/2 v/v). Chromatograms initially were monitored at

maximum absorbance. The diode-array detector also allowed the recording of complete spectra (190–600 nm) of individual components which was helpful in assign-

ing components to *cis* and *trans* isomers. Individual peaks were collected in quartz cuvettes and

fluorescence and fluorescence excitation spectra taken immediately. Reflection of solutions following fluores-

cence and fluorescence excitation spectra

solvent were corrected for by subtraction of a solvent blank taken under identical conditions. Fluorescence spectra were corrected for the wavelength dependence of optical components using correction factors obtained by recording the spectrum of a standard lamp

using a photoluminescence quantum counter and

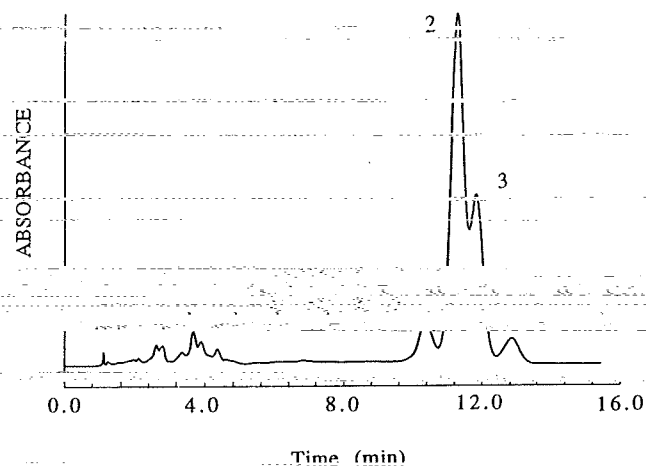


Fig. 2. HPLC of spherulene sample. The mobile and stationary

likely are various *cis* spherulene isomers with the

tion of the spherulene with the benzene. Similar

try of the all-*trans* isomer. Spectra with the strongest

identical with molecules

isomers, the HPLC procedures resolve many other

of shorter wavelengths ($< 400 \text{ nm}$) and must have

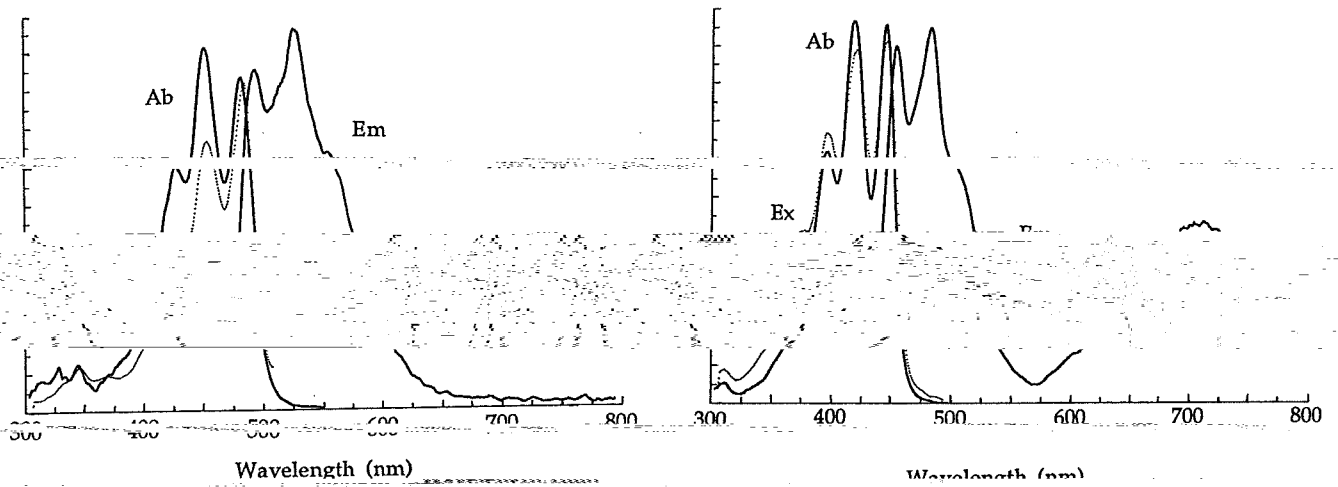
Results

four peaks with retention times greater than 10 min

from 415 to 481 nm for the four components. The most

peaks are the relative intensities in the 210–260 nm

band region, 210–260 nm. For example, the second



the emission at 550 nm. was obtained by monitoring the emission at 710 nm.

Comparison of the fluorescence spectra of the pure, all-trans isomer with those of the mixture shows that the fluorescence spectra of the mixture are in good agreement with those of the pure isomer. There are some traces of contaminants that complete with spontaneous fluorescence.

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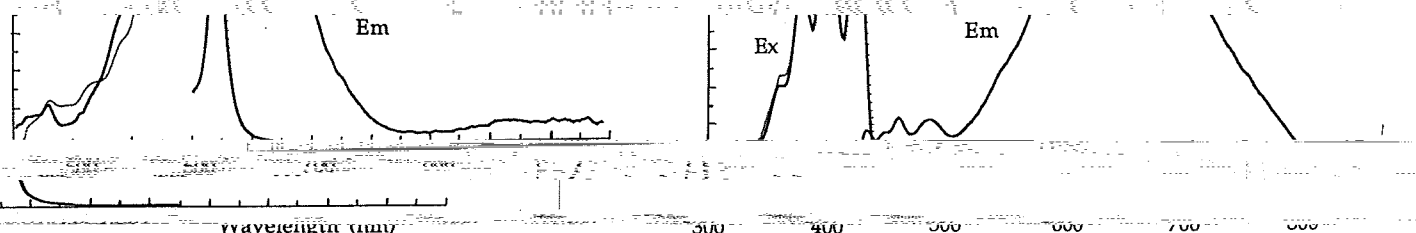


Fig. 1. Absorption (Ab, solid line), fluorescence (Em), and fluorescence excitation (Ex, dashed line) spectra of the pure, all-trans isomer of the sample. The fluorescence spectrum was obtained by monitoring the emission at 710 nm.

400 nm, however, the fluorescence spectrum is independent of the wavelength of excitation, as expected for emission from a single, all-trans spheroidene species.

The spectra of 3,4-dihydrospheroidene ('methoxy-spheroidene' however, there are indications in the 700–800 nm region of a weak, longer wavelength emission, indicating $S_1 \rightarrow S_0$ fluorescence ($2^1A_g \rightarrow 1^1A_g$) in this molecule. It is important to note that 3,4-dihydro-

spheroidene (nine conjugated double bonds) is the longest carotenoid for which the low energy 2^1A_g state has been observed. The presence of an $S_1 \rightarrow S_0$ emission in methoxy-spheroidene is supported by comparing its fluorescence spectrum with those of its less-con-

jugated homologs 3,4,5,6-tetrahydrospheroidene (Fig. 6) and 3,4,7,8-tetrahydrospheroidene (Fig. 7). Excitation of the latter compound gives almost exclusively

emissions in the $S_1 \rightarrow S_0$ transition of 3,4,5,6-tetrahydro-

previously observed on *p*-fl-carotene, another

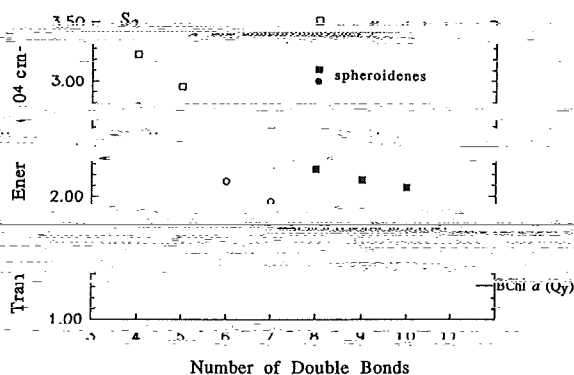
DS Smith and D.L. Christensen, unpublished.

understood α,ω -dimethylpolyenes series previous to

almost identical trends noted in the two series further

associated molecules

lished) and Ref. 16.



energies for α,ω -dimethylpolyenes and spheroidenes as a function of conjugation length. The energies of the electronic states are indicated by the arrows. The $S_1 \rightarrow S_0$ transition is observed for $S_1 \rightarrow S_0$ absorption in near temperature methoxy-spheroidene data are from Munier and Christensen (unpublished) and Ref. 16. Chlorophyll *a* ($\lambda_c = 575$ nm, $\lambda_f = 660$ nm) and bacteriochlorophyll *a* ($\lambda_c = 573$ nm, $\lambda_f = 769$ nm) transi-

ing the energies of the spheroidene $S_1 \rightarrow S_0$ electronic (and their second derivatives) with spectra of analog

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The 1^1B_u (S_1) and the 2^1A_g (S_1) states of

polyenes with the same extent of conjugation (Fig. 8).

the 1^1B_u (S_1) and the 2^1A_g (S_1) states in the more

(T. Macey and D.L. Christensen, unpublished data). It is well established that the $S_1 \rightarrow S_0$ energy difference in polyenes is smaller than in shorter polyenes with the same length...

It has been attributed to the increase in the $S_1 \rightarrow S_0$ energy difference with increasing polyene length... The energy difference between the S_1 and S_0 states is smaller than in shorter polyenes... This suggests that at least some of the parameters...

The absence of detectable $S_1 \rightarrow S_0$ fluorescence from long polyenes such as spheroidene and β -carotene... This indicates that the 2^1A_g state of spheroidene (10 double bonds) most likely is higher in energy than the S_1 state...

energy transfer in Rhodospirillum rubrum... most likely occur via the spheroidene 2^1A_g state... 15150 cm^{-1} (660 nm) S_1 state of chlorophyll *a*... 17000 cm^{-1} 2^1A_g state in β -carotene... in Fig. 8 which indicate a β -carotene 2^1A_g state in the...

[17] This suggests that at least some of the parameters... and correspondingly large $S_1 \rightarrow S_0$ energy differences) molecules changes in molecular geometry... dynamics of β -carotene... in the BR800-850 light harvesting complex of photosynthetic bacteria are reduced to 20-50% from...

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electronic transitions at short distances also may include states (see below). This would allow polyene internal terms due to weak excitonic interactions. [39,41]

fluorescence spectrum of the donor and the absorption states) would further accelerate energy transfer from

changes associated with the $S_1 \rightarrow S_0$ transition typically

with relatively weak intensity at wavelengths corresponding to transitions between the two main levels of

the S_1 state, $S_1(0,0) \rightarrow S_1(0,1)$. This is in contrast with the absorption and emission spectra of chlorophylls,

for which a large fraction of the Q_y transition strength is in the $S_1(0,0) \rightarrow S_1(0,1)$ band. As a

consequence, the overlap between carotenoid $S_1 \rightarrow S_0$

emission and chlorophyll absorption is enhanced when the S_1 energy of the donor is

equal to or slightly greater than the S_1 energy of the acceptor. S_1 (carotenoid) to S_1 (chlorophyll) energy transfer will be enhanced when the acceptor (0,0) band

Absorption and fluorescence spectroscopy of short-petal polyenes [5, 16], carotenoids [17] and spheroidenes have provided a detailed understanding of

how the electronic energies of polyene S_2 (1^1B_u) and S_1 (2^1A_g) states depend on conjugated length (Fig. 8).

Extrapolation of these results to molecules such as

those of these natural polyenes suggests that their

energies are lower than previously thought. Longer carotenoids

also exhibit S_2 fluorescence. This is consistent with

their low S_2 energies, relatively large S_2-S_1 energy gaps,

factor of 1.5-2. The direct excitation of chlorophylls is

nature of the polyene $S_1 \rightarrow S_0$ transition further requires short-range electron exchange (Dexter transfer)

rather than through interactions for transfer involving a carotenoid 2^1A_g state. The high efficiency of

short range

sufficient energy and lifetimes to funnel excitation into the S_1 states of chlorophyll acceptors. For β -carotene,

chlorophyll a complexes in green plants, the lower polyene S_1 (2^1A_g , 0.0 eV) makes it likely that this

of. This implicates the carotene S_2 state as the donor state. Although the rate of $S_2 \rightarrow S_1$ internal conversion is reduced by the relatively large S_2-S_1 energy differ

Regardless of the mechanism of singlet energy transfer, it is remarkable that carotenoids function as efficient energy donors in photosynthesis in a wide

of 200-400 fs lifetimes for the S_2 state. How can S_2

through fast non-radiative decay (internal conversion)

the antenna complex. Strong coulombic interactions

thermal relaxation to carotenoid ground states

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the synthesis of the modified spheroidenes. HAF ac- Lett. 126, 197-200.
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